GP 28-56

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wang et al.

Application No.: 09/954,449

Filed: 09/17/2001

Group No.: 2856 Examiner: Unknown

For: RAPID THROUGHPUT SURFACE TOPOGRAPHICAL ANALYSIS

Scket No. 1012-125(2001-023)

Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement in accordance with their duty of disclosure under 37 CFR 1.56 and 1.97-1.98. The submission made herewith is in no way intended as an admission that the cited items constitute material prior art or otherwise would render the claims unpatentable in any way. The submission also is in no way intended to substitute for the Examiner's own independent investigation.

Copies of the references together with a listing on forms PTO/SB/08A and PTO/SB/08B are submitted herewith. Applicants respectfully solicit the Examiner's consideration of the cited references and entry thereof into the record of this application. Applicants are also submitting copies of references cited in a search report (copy enclosed).

No fees are believed to be due, however, if any fee is due with the filing of

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope with sufficient postage as Express Mail "Post Office to Addressee" Mail Label No. EV057648852US addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 02-22-02

[x]

**FACSIMILE** 

transmitted by facsimile to the Patent and Trademark Office.

Koru k. Masquelier Signature

Signature

Roni Masquelier

(type or print name of person certifying)

FAR 28 2012

this paper please charge the amount to deposit account 50-0496.

Date: 2 - 22 - 02

Scott A. Chapple Registration No. 46,287 Dobrusin & Thennisch PC 401 South Old Woodward Avenue Suite 311 Birmingham, MI 48009 248-593-9900

Customer No. 25215

PTO/SB/08A (10-96) se through 10/31/99. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE contains a valid OMB control number.

Please type a plus sign (+) inside this box ->

Under the Paperwork Reduction Act of 1995, n FEB 2 2 20002

> INFORMATION DISPLOSURE STATEMENT BY APPEROA

> > (use as many sheets as necessary)

of Sheet 6

Substitute for form 1449A/PTO

Complete if Known						
Application Number	09/954,449					
Filing Date	09/17/2001					
First Named Inventor	Youqi Wang					
Group Art Unit	2856					
Examiner Name	Unknown					
Attorney Docket Number	1012-125(2001-023)					

			U.S. PATENT DOCU	MENTS	
Examiner Initials	Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> Number  (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		1,870,412	H.T. Kennedy	08/09/1932	
		3,071,961	J. J. Heigl et al.	01/08/1963	
		3,675,475	Weinstein	07/11/1972	
		3,713,328	Aritomi	01/30/1973	
		3,798,960	Glass	03/26/1974	
		3,805,598	Corcoran	04/23/1974	
		3,818,751	Karper et al.	06/25/1974	
		3,849,874	Jeffers	11/26/1974	
		3,895,513	Richardson	07/22/1975	
		3,908,441	Virloget	09/30/1975	
		3,933,032	Tschoegl	01/20/1976	
		4,229,979	Greenwood	10/28/1980	
		4,447,125	Lazay et al.	05/08/1984	
		4,517,830	Gunn, deceased et al.	05/21/1985	
		4,570,478	Soong	02/18/1986	
		4,599,219	Cooper et al.	07/08/1986	
		4,567,774	Manahan et al.	02/04/1986	
		4,602,501	Hirata	07/29/1986	
		4,605,589	Orphanides	08/12/1986	
		4,680,958	Ruelle et al.	07/21/1987	

				FOR	EIGN PATENT DOCUMENT	'S		
Examiner Initials	Cite No.1	Office <sup>3</sup>	Foreign Patent Docus Number4	ment Kind Code <sup>s</sup> (if known)	Name of Patentee of	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
			JP402297040A		Akaha Toru	12/07/1990		
			WO 00/23921		Symyx Technologies, Inc.	04/27/2000		
			WO 99/18431		Symyx Technologies, Inc.	04/15/1999		
			WO 98/15501		Symyx Technologies, Inc.	04/16/1998		
			WO 00/51720		Symyx Technologies, Inc.	09/08/2000		
			WO 00/ <u>67086</u>		Symyx Technologies, Inc.	11/09/2000		$oldsymbol{\perp}$
			WO 00/36410			06/22/2000		$\perp$
			WO 00/40331			07/13/2000		$\perp$
			WO 00/17413		Symyx Technologies, Inc.	03/30/2000		
			WO 01/79949		Symyx Technologies, Inc.	10/25/2001		$\perp$

Signature Considered	Examiner	Date
	Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved Patent and Trademark C pond to a collection of information un

PTO/SB/08A (10-96) ise through 10/31/99. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE t contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICAT

FEB 2 2 20002

(use as many sheets as necessary)

Sheet of 6

Complete if Known						
Application Number	09/954,449					
Filing Date	09/17/2001					
First Named Inventor	Yougi Wang					

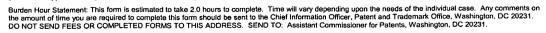
Filli Fir Group Art Unit 2856 Unknown Examiner Name Attorney Docket Number 1012-125(2001-023)

				U.S. PATENT DOC	UMENTS	
Examiner Initials	Cite No.1	U.S. Patent I	Cocument  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,685,328		Huebner et al.	08/11/1987	
		4,699,000		Lashmore et al.	10/13/1987	
		4,715,007		Fujita et al.	12/22/1987	
		4,740,078		Daendliker et al.	04/26/1988	
		4,749,854		Martens	06/07/1988	
		4,789,236		Hodor et al.	12/06/1988	
		4,793,174		Yau	12/27/1988	
		4,829,837		Telfer	05/16/1989	
		4,893,500		Fink-Jensen	01/16/1990	
		4,899,575		Chu et al.	02/13/1990	
		4,899,581		Allen et al.	02/13/1990	
		4,932,270		Lurie et al.	06/12/1990	
		4,975,320		Goldstein et al.	12/04/1990	
		5,008,081		Blau et al.	04/16/1991	
		5,051,239		von der Goltz	09/24/1991	
		5,092,179		Ferguson	03/03/1992	
		5,115,669		Fuller et al.	05/26/1992	
		5,142,900		Duke	09/01/1992	
		5,193,383		Burnham et al.	03/16/1993	
	l	5,236,998		Lundeen et al.	08/17/1993	

				F	OR	EIGN PATENT DOCUMENT	S		
Examiner Initials*	Cite No.1	Office	Foreign Patent Doc	ument Kind Co (if know		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
			WO 96/11878			Reg. of Univ. of California	04/25/1996		$\Box$
			0 317 356			Chichibu Cement Ka			Ш
									┦
		<b>├</b> ─							+
		<del> </del> -							$\Box$
		1							
									$oldsymbol{\perp}$
									╀┩
	l								

Examiner	Date	]
Signature	Consi	idered

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	PTO.	/SB/08	BA (	(10-96	3)
h	10/31/99				

Please type a plus sign (+) inside this box -> Approved Patent and Trademark 0 ise through 10/31/99, OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no pe

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 6

Sheet

Complete if Known 09/954,449 **Application Number** Filing Date 09/17/2001 First Named Inventor Youqi Wang Group Art Unit 2856 Unknown Examiner Name Attorney Docket Number 1012-125(2001-023)

				U.S. PATENT DOC	UMENTS	
Examiner Initials*	Cite No.1	U.S. Patent I	Cocument Kind Code <sup>2</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,269,190		Kramer et al.	12/14/1993	
		5,271,266		Eschbach	12/21/1993	
		5,272,912		Katsuzaki	12/28/1993	
		5,280,717		Hoseney et al.	01/25/1994	
		5,303,030		Abraham et al.	04/12/1994	
		5,305,633		Weissenbacher et al.	04/26/1994	
		5,398,885		Andersson et al.	03/21/1995	
		5,437,192		Kawamoto et al.	08/01/1995	
		5,438,863		Johnson	08/08/1995	
		5,452,614		Kato et al.	09/26/1995	
		5,452,619		Kawanabe et al.	09/26/1995	
		5,481,153		Turner	01/02/1996	
		5,517,860		Lin et al.	05/21/1996	
		5,520,042		Garritano et al.	05/28/1996	
		5,532,942		Kitamura et al.	07/02/1996	
		5,610,325		Rajagopal et al.	03/11/1997	
		5,626,779		Okada	05/06/1997	
		5,699,159		Mason	12/16/1997	
		5,700,953		Hlady et al.	12/23/1997	
		5,723,792		Miyazaki	03/03/1998	

			FORE	IGN PATENT DOCUMENT	rs		
Cite	Foreign Patent Document		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant		
No.1	Office <sup>3</sup>	Number	(if known)	Applicant of Cited Document	Cited Document Passages or Relevant Figures Appear		T6
					L		$\perp$
		`					1_
							+
	<b></b>			· -			╄
							+
	<del>                                     </del>	<del></del>					+
	<del>-   -</del>		-+		<del>                                     </del>		+
	<del>                                     </del>				<del> </del>		1
	Cite No.1	Cite	Cite No.1 Office3 Number4	Cite No.1 Foreign Patent Document  Office3 Number4 (if known)	Cite No.1 Foreign Patent Document Name of Patentee or Applicant of Cited Document  Name of Patentee or Applicant of Cited Document	Cite No. 1 Foreign Patent Document Name of Patentee or Applicant of Cited Document MM-DD-YYYY  Number No. 1 Number No. 1 Name of Patentee or Applicant of Cited Document MM-DD-YYYY	Cite No. 1 Office Number Numbe

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

it contains a valid OMB control number.

PTO/SB/08A (10-96) ise through 10/31/99. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE Approved Patent and Trademark 0

and to a collection of information unl

Under the Paperwork Reduction Act of 1995, no

Please type a plus sign (+) inside this box →

Substitute for form 1449A/PTO INFORMATION DISCEOSLINE

STATEMENT BY APPLICANT (use as many sheets as necessary)

Sheet of 6

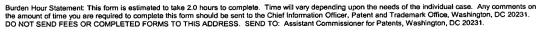
Complete if Known						
Application Number	09/954,449					
Filing Date	09/17/2001					
First Named Inventor	Youqi Wang					
Group Art Unit	2856					
Examiner Name	Unknown					
Attorney Docket Number	1012-125(2001-023)					

				U.S. PATENT DOC	UMENTS	
Examiner Initials*	Cite No.1	U.S. Patent I	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,728,532		Ackley	03/17/1998	
		5,756,883		Forbes	05/26/1998	
		5,764,068		Katz et al.	06/09/1998	
		5,776,359		Schultz et al.	07/07/1998	
		5,817,947		Bergerus	10/06/1998	
		5,821,407		Sekiguchi et al.	10/13/1998	
		5,847,283		Finot et al.	12/08/1998	
		5,877,428		Scolton	03/02/1999	
		5,892,157		Syre	04/06/1999	
		5,922,967		Motoyama	07/13/1999	
		5,959,297		Weinberg et al.	09/28/1999	
		5,985,356		Schultz et al.	11/16/1999	
		5,999,887		Giannakopoulos et al.	12/07/1999	
		6,004,617		Schultz et al.	12/21/1999	
		6,010,616		Lewis et al.	01/04/2000	
		6,013,199		McFarland et al.	01/11/2000	
		6,030,917		Weinberg et al.	02/29/2000	
		6,033,913		Morozov et al.	03/07/2000	
		6,034,240		La Pointe	03/07/2000	
		6,034,775		McFarland et al.	03/07/2000	

	FOREIGN PATENT DOCUMENTS										
Examiner	Cite	F	oreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant				
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T6			
								$\vdash$			
		-		_				$\vdash$			
		<del>-  -</del>						$\vdash$			
								$\vdash$			
		<del>                                     </del>						$\vdash$			

Examiner	Date	
Signature	Considered	
O.g. a.a.	 	<u> </u>

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (10-96) ise through 10/31/99, OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE Approved
Patent and Trademark C

Under the Paperwork Reduction Act of 1995, r and to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of Sheet 16

Complete if Known					
Application Number	09/954,449				
Filing Date	09/17/2001				
First Named Inventor	Youqi Wang				
Group Art Unit	2856				
Examiner Name	Unknown				
Attorney Docket Number	1012-125(2001-023)				

				U.S. PATENT DOC	UMENTS	
Examiner Initials*	Cite No.1	U.S. Patent to	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,040,193		Winkler et al.	03/21/2000	
		6,043,317		Mumick et al.	03/28/2000	
		6,043,363		LaPointe et al.	03/28/2000	
		6,045,671		Wu et al.	04/04/2000	
		6,050,138		Lynch et al.	04/18/2000	
	-	6,050,139		Bousfield et al.	04/18/2000	
		6,087,181		Cong	07/11/2000	
		6,092,414		Newman	07/25/2000	
		6,124,476		Guram et al.	09/26/2000	
		6,149,882		Guan et al.	11/21/2000	
		6,151,123		Nielsen	11/21/2000	
		6,157,449		Hajduk	12/05/2000	
		6,175,409		Nielsen et al.	01/16/2001	
		6,177,528		LaPointe et al.	01/23/2001	
		6,182,499		McFarland et al.	02/06/2001	
		6,187,164		Warren et al.	02/13/2001	
		6,203,726		Danielson et al.	03/20/2001	
		6,225,487		Guram	05/01/2001	
		66,225,550	0	Hornbostel et al.	05/01/2001	
		6,242,623		Boussie et al.	06/05/2001	

	FOREIGN PATENT DOCUMENTS										
Examiner	Cite	F	oreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant				
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Cod (if known		Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>			
								+			
		$\vdash$						+-+			
		<del> </del>						+			
								+			
		$\vdash$						+			
		1 I			1						

Examiner	Date Considered	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box ->

Under the Paperwork Reduction Act of 1995, FEB 2 2 2002

Substitute for form 1449A/PTO Substitute for form 1449A/PTO TINFORMATION DISCLOSURE STATEMENT BY APPLICATION

(use as many sheets as necessary)

of Sheet 16

Complete if Known					
Application Number	09/954,449				
Filing Date	09/17/2001				
First Named Inventor	Youqi Wang				
Group Art Unit	2856				
Examiner Name	Unknown				
Attorney Docket Number	1012-125(2001-023)				

	U.S. PATENT DOCUMENTS								
Examiner nitials*	Cite No.1	U.S. Patent Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		6,248,540		Weinberg et al.	06/19/2001				
		6,260,407		Petro et al.	07/17/2001				
		6,265,226		Petro et al.	07/24/2001				
		6,265,601		Guram et al.	07/24/2001				
		6,268,513		Guram et al.	07/31/2001				
		6,294,388		Petro	09/25/2001				
		6,296,771		Miroslav	10/02/2001				
		6,306,658		Turner et al.	10/23/2001				
		6,315,923		Devenney et al.	11/13/2001				
		6,326,090		Schultz et al.	12/04/2001				
		6,336,353		Matsiev et al.	01/08/2002				
			_						
		ı			1				

	FOREIGN PATENT DOCUMENTS											
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Foreign Patent Do Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6				
								$\top$				
								1				
-						1						
								Ţ				
								┷				
						<u> </u>		₩				
		<del></del>						╄				
						<del> </del>		╁				
		<del>                                     </del>		<del>-    </del>		<del> </del>	-	+				

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Please	type	а	plus	sign	(+	inside)	this	۱

PTO/SB/08B (10-96)
Approver use through 10/31/99. OMB 0651-0031
Patent and Trademark U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 19 to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449B/PTO 09/954,449 Application Number INFORMATION DECLOS 09/17/2001 Filing Date STATEMENT BY APP First Named Inventor Yougi Wang Group Art Unit 2856 Unknown (use as many sheets as necessary) Examiner Name of o Attorney Docket Number Sheet 1012-125(2001-023)

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1							
	, i	ODIAN, Principles of Polymerization, 3rd Ed., John Wiley & Sons, Inc. (1991)						
		YOUNG, W.C., Roark's Formulas for Stress and Stain, 1989						
		TIMOSHENKO, S., Theory of Plates and Shells, McGraw-Hill, New York 1940						
		OSTERBERG, Peter M. and Stephen D. Senturia, "M-TEST: A Test Chip for MEMS Material Property Measurement Using Electrostatically Actuated Test Structures," Journal of Microelectromechanical Systmes, Vol. 6, No. 2, June 1997						
		KIM, J.O. and B. Lewis Slaten, "Objective Assessment of Fabric Handle in Fabrics Treated With Flame Retardants," Journal of Testing and Evaluation, JTEVA, Vol. 24, No. 4, July 1996, pp. 223-228.						
		GROVER, G. et al., "A Screening Technique for Fabric Handle", J. Text. Inst., 1993, 84 No. J. Textile Institute, pp. 486-494.						
		PAN, NING and K.C. Yen, "Physical Interpretations of Curves Obtained Through the Fabric Extraction Process for Handle Measurement," Textile Research Journal 62(5), pp. 279-290 (1992).						
		"Handle-O-Meter", Thwing-Albert Instrument Company, Philadelphia, PA.						
		RAEEL, MASTURA and Jiang Liu, "An Empirical Model for Fabric Hand" Textile Research Journal 62, 1, pp. 31-38 (1991).						
		ALI, S.I. and Shahida Begum, "Fabric Softeners and Softness Perception", Ergonomics, v.37, No. 5, pp. 801-806 (1994).						

Examiner	Date	Ì
Signature	Considered	
4.3		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Please	type	а	plus	sign	(+)	inside	this	box

- PEIPE

PTC/SB/08B (10-96)
Approver use through 10/31/99 OMB 0651-0031
Patent and Trademark U.S. DEPARTMENT OF COMMERCE

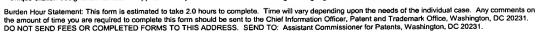
er the Pape	rwork Reduction Act of	1995	no perso	ns are required	Patent and Trader	mark C. U.S. DEPARTMENT OF COMMERCE tion unless it contains a valid OMB control number.
Substit	ute for form 1449B/PT		D FEB	5 1 July 6	C	complete if Known
			Ħ.	<u>«</u>	Application Number	09/954,449
INF	ORMATION	1 C	) <b>LE</b> CÎ	OSURE	Filing Date	09/17/2001
STA	TEMENT	RY	APE	ANT	First Named Inventor	Youqi Wang
517		<b>-</b> .	A .	LIOAII	Group Art Unit	2856
	(use as many	sheet	s as nec	essary)	Examiner Name	Unknown
Sheet	1	of	0		Attorney Docket Number	1012-125(2001-023)

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
 	"DMA 2980 Dynamic Mechanical Analyer," http://www.tainst.com/dma2.html, 12/29/00	-				
	"Introducing the NEW DMTA V!", http://www.rheometricscientific.com/dmtaV.htm, 12/29/00					
	"Standard Test Method for Rubber Property-Intrenational Hardness," American Society for Testing and Materials					
	AMITAY-SADOVSKY, ELLA and H. Daniel Wagner, "Evaluation of Young's Modulus of Polymers from Knoop Microindentation Tests" Polymer Communications, 1998, vol. 39, no. 11, pp. 2387-2390.					
	CALLEJA, F.J. BALTA, "Microhardness Studies of Polymers and Their Transitions" TRIP, December 1994, vol. 2, no. 12, pp. 419-425					
	BOWLT, C., "A Simple Capillary Viscometer" Physics Education, March 1975, vol. 10, no. 2, pp. 102-103					
	LACOMBE, ROBERT H. and Jeremy Greenblatt, "Mechanical Propoerties of Thin Polyimide Films" pp. 647-668					
	SHINOZAKI, D.M. and Y. Lu, "Micro-Indentation Relaxation Measurements in Polymer Thin Films" Journal of Electronic Materials, 1997, vol. 26, no. 7, pp. 852-858					
	WIERENGA, P.E. and A.J.J. Franken, "Ultramicroindentation Apparatus for the Mechanical Characterization of Thin Films" J. Appl. Phys., June 15, 1984, 55 (12).					
	European Search Report dated December 10, 2001					
	European Search Report dated December 10, 2001					

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B (10-96)
Approver use through 10/31/99 OMB 0651-0031
Patent and Trademark U.S. DEPARTMENT OF COMMERCE respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 19 Complete if Known Cubatitute for form 14400/DTO

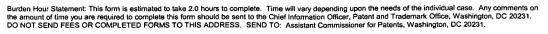
		<b>2</b> ~	Application Number	09/954,449				
INF	ORMATION	DISCLOSURE	Filing Date	09/17/2001				
STA	TEMENT	BY APPLICANT	First Named Inventor	Youqi Wang				
<b>017</b>		5. 7	Group Art Unit	2856				
	(use as many s	sheets as necessary)	Examiner Name	Unknown				
Sheet	1	of 0	Attorney Docket Number	1012-125(2001-023)				

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		U.S. Application Serial No. 09/420,334 entitled " Graphic Design of Combinatorial Material Libraries" (Lacy, et al.) filed on October 18, 1999	
		U.S. Patent Application Serial No. 09/305,830 titled "Synthesizing Combinatorial Libraries of Materials" (Rust, et al.) filed on May 5, 1999	
		U.S. Application Serial No. 09/550,549 entitled "Automated Process Control And Data Management System And Methods" (Crevier, et al.) filed on April 14, 2000	
		U.S. Application Serial No. 09/755,623 entitled "Laboratory Database System and Methods For Combinatorial Materials Research" (Dorsett, Jr., et al.) filed on January 5, 2001.	
		The family of applications for U.S. Application Serial No. 09/227,558 entitled, "Apparatus and Method of Research for Creating and Testing Novel Catalysts, Reactions and Polymers" (Turner et al.) filed January 8, 1999	
		U.S. Application Serial No. 09/235,368 entitled "Polymerization Method From the Combinatorial Synthesis and Analysis of Organometallic Compounds and Catalysts" (Weinberg et al.) filed on January 21, 1999	
		U.S. Provisional Application Serial No. 60/122,704 entitled "Controlled, Stable Free Radical Emulsion and Water-Based Polymerizations" (Klaerner et al.) filed on March 9, 1999	i
		The family of applications for U.S. Application Serial No. 09/156,827 entitled "Formation of Combinatorial Arrays of Materials Using Solution-Based Methodologies" (Giaquinta et al.) filed September 18, 1998	
		The family of applications for U.S. Application Serial No. 09/567,598 entitled "Polymer Libraries on a Substrate, Method for Forming Polymer Libraries on a Substrate and Characterization Methods with Same" (Boussie et al.) filed May 10, 2000	
		U.S. Application Serial No. 09/579,338 entitled "Rheo-Optical Indexer and Method of Screening and Characterizing Arrays of Materials" (Carlson et al.) filed on May 25, 2000	
		The family of applications for U.S. Application Serial No. 09/580,024 entitled "Instrument for High Throughput Measurement of Material Physical Propoerties and Method of Using Same" filed on May 26, 2000	

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



Please tv	ne a nius	s sian	(+) ins	ide this	box

Sheet

STATEMENT BY APPRICAN

(use as many sheets as necessary)

of O

PTO/SB/08B (10-96) use through 10/31/99, OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE Patent and Trademark

1012-125(2001-023)

2856 Unknown

Under the Paperwork Reduction Act of 1995, no persons collection of information unless it contains a valid OMB control number. FEB 2 2 2002 Complete if Known Substitute for form 1449B/PTO Application Number 09/954,449 INFORMATION DISCLOSURES 09/17/2001 Filing Date Yougi Wang First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

 	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	U.S. Patent Application Serial No. 09/939,404 entitled "High Throughput Mechanical Property and Bulge Testing of Material Libraries," (D. Hajduk et al.) filed on August 24, 2001.	
	U.S. Patent Application Serial No. 09/939,252 entitled "High Throughput Mechanical Rapid Serial Property Testing of Material Libraries," (P. Mansky) filed on August 24, 2001.	
	U.S. Patent Application Serial No. 09/939,139 entitled "High Throughput Fabric Handle Screening," (M. Kossuth et al.) filed on August 24, 2001.	
	U.S. Patent Application Serial No. 09/939,149 entitled "High Throughput Rheological Testing Of Materials" (Paul Manksy et al.) filed on August 24, 2001.	
	U.S. Patent Application Serial No. 09/939,263 entitled "High Throughput Mechanical Property Testing of Materials Libraries Using Capacitance," (D. Hajduk et al.) filed on August 24, 2001.	
	U.S. Patent Application Serial No. 09/938,994 entitled "High Throughput Mechanical Property Testing of Materials Libraries Using a Piezoelectric," (D. Hajduk) filed on August 24, 2001.	
	The family of applications for U.S. Patent Application Serial No. 09/174,856 titled "Graphic Design of Combinatorial Material Libraries" (Lacy, et al.) filed on October 19, 1998.	
	U.S. Application Serial No. 09/578,997 entitled "High Throughput Viscometer and Method of Using Same" filed May 25, 2000	
		L

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

